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PROGRAM GUIDELINES 2019/20 OPERATING ASSISTANCE: Community Arts Organizations

Territory Acknowledgement

The BC Arts Council acknowledges that it carries out its work on the land of Indigenous nations throughout British Columbia. We are grateful for the continuing relationships with Indigenous people in B.C. that develop through our work together. We acknowledge and raise our hands to the Lkwungen people, known today as the Songhees and Esquimalt Nations, on whose ancestral lands we operate our main offices.

General Information - Operating Assistance Program

Program Objectives

The BC Arts Council's Operating Assistance Program aims to provide consistent and responsive funding to assist the general operations of established professional non-profit arts and cultural organizations across disciplines; in so doing, the program supports the sustainable delivery of high quality and impactful arts programs and services to communities across the province.

The Operating Assistance Program supports organizations' operations over a funding cycle of up to four years determined by discipline, type of organization and/or status within the program. Lengths of funding cycles, application requirements and eligibility criteria vary across disciplinary and other sectoral considerations. All applicants must meet the specific eligibility requirements included in the Program Guidelines. Applications from organizations not currently receiving Operating Assistance are only accepted in Year One of a funding cycle.

For 2019/20 the Operating Assistance: Community Arts Organizations all applicants will apply for 2 years of support.

The Operating Assistance Program offers funding through Extended Cycle and Annual grants:

- 1) Extended Cycle: provides established, stable organizations with a fixed funding level over an extended funding cycle. Eligible, approved organizations will receive an operating grant amount over a period of two to four fiscal years based on an initial request and approved amount and subject to annual reporting and budgetary approvals. Organizations on Extended Cycle Status must submit a report by the program deadline annually in order to receive a grant in each of the subsequent years within their funding cycle.
- 2) Annual Status: provides funding for annual operations to organizations that are newly entering the operating program; experiencing significant transition; or requiring closer monitoring as identified by the Advisory Committee, Council or staff in consideration of Program Guidelines and Council policy. Those organizations placed on Annual Status must submit a full Operating Assistance Annual Status application by the program deadline each year.

Program deadline: September 30, 2019



2019/20 applicants to the Operating Assistance Program – Professional Performing Arts Organizations are eligible to apply for Enhanced Capacity Assistance. Full information on applying to this program is included as an addendum to these program guidelines. All applicants must indicate their intention to apply for Enhanced Capacity on the Operating Assistance Application Form and must submit the Enhanced Capacity request with the Operating Assistance application for the September 30th deadline.

TABLE OF CONTENTS

About the BC Arts Council	3
About Operating Assistance: Community Arts Organizations	4
Eligibility Requirements	4
Application Requirements	6
Considering Cultural Context	8
Assessment Criteria	8
Application Deadline and Submission	10
Adjudication Process	11
Notification of Awards	11
Funding Status	11
Grant Amounts	13
Confidentiality and Recognition of Awards	13
Contact Information	13

BC Arts Council program guidelines and application forms are revised annually.

About the BC Arts Council

The BC Arts Council is an agency of the provincial government established by the *Arts Council Act*, for the purposes of:

- Providing support for the arts and culture in British Columbia;
- Providing persons and organizations with the opportunity to participate in the arts and culture in British Columbia; and
- Providing an open, accountable and neutrally administered process for managing funds for British Columbia arts and culture.

In July 2018, the BC Arts Council released <u>New Foundations: Strategic Plan for the British Columbia</u> <u>Arts Council 2018-2022</u>, which articulates the following vision:

"Artists and cultural organizations in British Columbia are well-supported, thriving, and able to reach their full potential under principles of equity, diversity, accessibility, and reconciliation. Arts and culture are seen as fundamental to developing vibrant and resilient communities, where the people of British Columbia are highly engaged in the province's unique arts and culture sector recognized for its innovation and leadership in Canada and abroad."

Strategic Directions

The new strategic plan lays out four new strategic directions for the BC Arts Council, intended to facilitate more equitable access to arts funding and informed by the current context facing the arts and culture sector in B.C.:

- Sustainability and Creative Development
- Equity, Diversity and Access
- Indigenous Arts and Culture
- Regional Arts and Community Arts

Values

The BC Arts Council's values guide all decisions and activities, from program design to the peer review process. We strive for constant improvement under these values in how we serve artists and cultural organizations throughout B.C.

- > Artistic Development and Achievement
- Indigenous Engagement and Cultural Vitality
- Equity, Access and Diversity
- Collaboration and Partnerships
- Engagement and Participation
- Recognition and Promotion
- Fair and Transparent Governance

About Operating Assistance: Community Arts Organizations

The Operating Assistance Program: Community Arts Organizations contributes to the support of registered non-profit arts societies in British Columbia that: provide public access to a range of artistic and cultural activities, develop and encourage leadership for arts and culture at the community level, and encourage support for arts and culture from local and regional governments.

There are three components to Community Arts Organizations Operating Assistance. Two are formula-based (mandatory) and one is competitive (optional).

Formula-based:

- 1. Basic Assistance
- 2. Local Government Matching

Competitive:

1. Annual Performance Award

All eligible organizations will apply in Year One. Assessment will determine whether successful applicants will be placed on Extended Cycle or Annual Status.

Extended Cycle Status: Arts and Cultural Service Organizations placed on Extended Cycle Status will be approved for a grant amount that will remain fixed for the duration of the funding cycle. Organizations on Extended Cycle Status must submit a report annually by the program deadline in order to receive funding for Year Two. Full information about the requirements for the Extended Cycle Interim Report, including the Interim Report Form, is available on our website.

Annual Status: Approved Arts and Cultural Service Organizations that are new entrants to the operating program; those experiencing significant transition; or those requiring closer monitoring as identified by the Advisory Committee, Council or staff in consideration of Program Guidelines and Council policy, may be placed on Annual Status. Annual Status applicants will be required to submit a full application in Year Two of the funding cycle.

The BC Arts Council will not accept new applications for Operating Assistance during Year Two of the funding cycle.

The guidelines below set out the application requirements, eligibility criteria and assessment criteria for each component, followed by general policy on financial requirements, the need for a subsidy, changes to awards, conditions of assistance, and deadlines.

Eligibility Requirements

Funding under this program is for the Community Arts Organization's forecast fiscal year, i.e. the fiscal year beginning after September 30 of the year in which the arts organization submits an application.

General Eligibility Requirements

All eligible applicants must:

- Be registered as a not-for-profit society in good standing with the Province of British Columbia and have operated as a community arts organization for at least two fiscal years prior to application.
- Provide year-round services to its community and have done so in the immediately preceding two years.
- Receive cash support from local government (i.e. cash for operations or programs, not in-kind)

- Compensate artists and arts professionals by compensating arts and culture workers at industry standards and adhering to international intellectual property rights standards and cultural ownership protocols.
- Employ professional artistic and administrative leadership (even if fully managed by volunteers).
- Demonstrate a range of membership that is representative of its constituency or community including diverse cultural and Indigenous populations.

For organizations that have not previously received Operating Assistance:

- Eligible applicants MUST have received a minimum of two Council project grants within the last 5 years. (Eligible grants received are those with file numbers beginning with the following years ONLY: 2014/2015/2016/2017/2018).
- Applicants must establish eligibility with Council staff at least six weeks before the application deadline.
- Note: New applicants to Community Arts Organization operating assistance program will be required to apply annually with a full application until the Community Arts Advisory Committee deems the organization is sufficiently stable to enter an extended cycle of funding (as below).

For organizations that have previously received Operating Assistance:

- Eligibility is reviewed annually.
- Established Operating Applicants in good standing are automatically entered a two-year extended cycle of support. Funds received in this fiscal year will be awarded again in the next year. An interim report submitted to Council in the fall of 2020 will release funds in the government's 2020/21 fiscal year.
- Note: Community Arts Organization operating assistance program applicants on Concerned Status will be required to apply annually with a full application until the Community Arts Advisory Committee deems the organization is sufficiently stable to enter an extended cycle of funding (as below).

Ineligible applications will not be reviewed by Advisory Committees.

Specific Eligibility Requirements

1. BASIC ASSISTANCE (Mandatory)

Community Arts Organizations seeking Basic Assistance for the first time should be aware that admission to this program occurs every two years. In other years, eligible community arts organizations that are not receiving Community Arts Organization Operating Assistance may be eligible to apply for Community Arts Development Project Assistance.

Annual awards for basic assistance support are available to eligible community arts organizations throughout the province. Support levels for Basic Assistance are determined by a formula based on:

- (1) population served, based on the most recent federal census (2016) *; and
- (2) the distance of the applicant's community from BC's two major urban centres (Vancouver or Victoria).

*A minimum of \$3,000 will be granted for communities whose population allotments are calculated to be less than \$3,000 based on the standard formulas in use.

Organizations applying for Basic Assistance must also meet the qualifications for Local Government Matching, below.

2. LOCAL GOVERNMENT MATCHING (Mandatory)

This component leverages the financial assistance received by Community Arts Organizations from municipal or regional governments (or Island Trusts) in the **previous** fiscal year, as demonstrated in their year-end Financial Statements.

Community Arts Organizations that received financial assistance from **municipal or regional governments in their <u>most recently completed fiscal year</u>** are eligible for 100% matching funding to a maximum of \$5,000 for use in the forecast funding year. The actual amount of local government assistance requested **must correspond with the last complete fiscal year's financial statements.**

Funds received from a local government in the current year cannot be applied to this application's Local Government Matching request.

Funds received from local governments must be for general operating purposes or specific projects to qualify for matching funds. Donated services and in-kind contributions from local governments are not eligible.

Organizations applying for Local Government Matching must also meet the qualifications for Basic Assistance, above.

3. ANNUAL PERFORMANCE AWARD (Optional) - – Note: there will be no Annual Performance Award component for the September 2018 deadline.

Annual Performance Awards are evaluated on the basis of Artistic Achievement, Community Engagement and Organizational Capacity as well as the mandate of the organization and the core values of Council. **This funding is not allocated by a formula.** These awards are based on merit and do not have to be allocated to a specific activity or project. The awards are based on a qualitative assessment of the applicant's program performance as described in the submitted narrative responding to the application questions, and the Assessment Criteria outlined below.

Exclusions

Awards under this program are not available for:

- fiscal years that have begun before the application date
- start-up costs
- seed money
- capital expenditures (construction, renovation, or purchase of property or equipment)
- · feasibility studies
- budget deficits
- professional/for-profit entities

Application Requirements

All applicants, especially new applicants, are urged to discuss their request with the appropriate program officer prior to submission.

Applicants must provide a completed application, all requested information, and clearly identified support materials.

It is the applicant's responsibility to ensure applications are complete and all application requirements are included. The BC Arts Council will not contact applicants to address errors in applications or missing application requirements, including support material, other than that required to determine eligibility. Eligible applications and any supporting material will be assessed as they have been submitted.

Applications must include:

A completed Basic Assistance and Local Government Matching online application.

- A completed Revenue and Expense Sheet using the BC Arts Council template.
- Two copies of the most recent Financial Statements bound or stapled, in accordance with requirements outlined below.

Applicants may also include limited support material, such as:

• A maximum of two samples of newsletters or other promotional materials, one copy of each.

Specific Application Requirements

1. BASIC ASSISTANCE (Mandatory)

The application form for Basic Assistance must be completed. Applicants may be denied funding if their programs do not meet the eligibility requirements or their activities fail to meet fundamental BC Arts Council objectives for this program.

2. LOCAL GOVERNMENT MATCHING (Mandatory)

The application for Local Government Matching must be completed and included with the application for Basic Assistance (see above) and is attached as Appendix A.

This grant is awarded on a matching basis, to a maximum of \$5,000 per fiscal year.

3. ANNUAL PERFORMANCE AWARD (Optional) – Note: there will be no Annual Performance Award component for the September 2018 deadline.

The application for Basic Assistance and Local Government Matching must be completed, as above.

Support material will not be returned.

FINANCIAL STATEMENTS

Financial Statements must include:

- a Balance Sheet, Income Statement and Notes;
- an itemized list of grants identified by funder, either in the income statement or in an attached schedule; and,
- a breakdown of operating net assets, distinguishing between unrestricted net assets and capital assets.

The type of Financial Statements required is based on the recipient's last BC Arts Council award (excluding special projects); in the case of new applicants, internally prepared financial statements are acceptable. Internally prepared financial statements must show two years of activity and be signed by two board members of the organization. Council staff reserve the right to request audited Financial Statements if deemed appropriate, regardless of the amount of the applicant's last award.

BC Arts Council Grant for last fiscal year	Minimum Required Documentation All Financial Statements must be signed by the auditor/bookkeeper/accountant with Board approval (two Board member signatures)	NEW!
Up to \$10,000	Internally prepared Financial Statements	W
\$10,001 - \$25,000	Independently prepared Financial Statements	
\$25,001 - \$100,000	Review Engagement Financial Statements	
Greater than \$100,000	Audited Financial Statements	

Considering Cultural Context

In <u>New Foundations: 2018-2022</u>, the BC Arts Council has made a commitment to principles of equity, diversity, accessibility and truth and reconciliation in all of its programs and processes. In its own operations and in the funding and support it provides for arts and culture in the province, the BC Arts Council administers its activities in accordance with the <u>BC Human Rights Code</u> and strives to foster cultural safety and safe and respectful workplaces for all artists, arts workers and cultural practitioners.

The BC Arts Council is committed to the standards and principles of the <u>United Nations</u> <u>Declaration of the Rights of Indigenous Peoples (the Declaration)</u> and the <u>Calls to Action of the Truth and Reconciliation Commission</u>. We support the Province of British Columbia in its commitment to fully adopt and implement both of these important documents.

The BC Arts Council acknowledges the cultural, geographic and regional diversity of the province, and recognizes the distinct and varied contexts of Indigenous, cultural and regional communities.

In consideration of these commitments, all applicants and assessors should contemplate a variety of factors around cultural context when submitting a proposal. Not all considerations will apply to all applications.

- Consider issues around cultural appropriation and ownership if using the cultural expressions, aesthetics or iconography of various communities removed from their social, political and cultural roots.
 Cultural appropriation can also occur when there is insufficient credit given to the sources of artistic and cultural work. Cultural appropriation diminishes access and reduces the opportunity for artists from Indigenous and diverse cultural communities to tell their own stories.
- If working with Indigenous peoples, communities, culture or intellectual property (including but not limited to stories, songs, dance, designs, art, traditional knowledge or other cultural expressions), consider the principles outlined in the United Nations Declaration of the Rights of Indigenous Peoples (the Declaration). The Declaration affirms that Indigenous peoples have the right to self-determination and the right to practice and revive their culture and traditions. As stated in Article 11 of the Declaration this "includes the right to maintain, protect and develop the past, present and future manifestations of their cultures, such as archaeological and historical sites, artefacts, designs, ceremonies, technologies and visual and performing arts and literature."
- Consider the reciprocity of relationships with the various communities and cultural practices represented in your application, and whose voices and perspectives are being included.

Consider how you have addressed cultural protocols and/or received permissions where required, including appropriate community consent, support, advice and/or collaboration.

Assessment Criteria

Throughout the application and assessment, applicants and the Advisory Committee should consider and discuss how the organization fulfils its mandate, mission, vision, and core values through the four areas of assessment, weighted as follows:

Artistic Contribution and Significance - 40%

Engagement and Impact - 25%

Organizational Capacity and Governance - 25%

Cultural Competency and Agility - 10%

In evaluating each application, the Advisory Committee will consider a number of possible criteria under each area of assessment. It is important to note that not all of the criteria will apply to every applicant; rather, they are examples of aspects that might be considered and should be addressed in the application if relevant to the organization and its work. Additionally, some criteria may have implications in more than one area of assessment.

In each area of assessment, the Advisory Committee considers the organization's reflection on both past achievement and proposed plans. Applicants are encouraged to critically assess the challenges faced and the creative solutions under consideration.

ARTISTIC CONTRIBUTION AND SIGNIFICANCE (40%)

The Advisory Committee will assess the organization's overall contribution to artistic and cultural practice in the province, including the significance of its contribution to the development of its art form(s) and communities. Advisory Committees may consider the following:

- Vision and artistic leadership.
- Overall contribution to the development of the art form, artists, other practitioners and broader artistic practice, in the context of a culturally and regionally diverse province.
- Vigour, originality and risk in artistic activities.
- The achievability of the proposed program.
- Commitment to the development of B.C. artists and cultural practitioners, including the level of professional fees and other compensation to artists and cultural workers.
- The quality of working conditions for artists and cultural workers, including cultural safety.
- Consideration of cultural context in all artistic activity and projects.

ENGAGEMENT AND IMPACT (25%)

The Advisory Committee will assess the organization's engagement with and impact on the province's broad arts and cultural community and the organization's own identified communities, including current and potential audiences, various partners and cultural groups, and the public. Advisory Committees may consider the following:

- The strength and reciprocity of relationships with identified communities.
- Engagement with the artistic community in B.C.
- Inclusion of and engagement with appropriate protocols and practices.
- The diversity and appropriateness of the artists, partners and communities the organization is engaged with.
- The strength and effectiveness of marketing, outreach and audience development strategies and educational programming.
- Consideration of cultural context in engagement activity.

ORGANIZATIONAL CAPACITY AND GOVERNANCE (25%)

The Advisory Committee will assess the organization's management, leadership, human resources and governance, including policies and planning, as they contribute to sustainability, resilience, and capacity. Advisory Committees may consider the following:

- Strategic planning and development, including the efficient use of resources to support organizational, community and artistic development.
- The internal and external factors contributing to the organization's current and potential artistic, engagement and organizational capacity across all areas.
- Staff and employee recruitment, development, tenure and succession planning.
- The role of management and the board in fostering a healthy, sustainable workplace and supporting the organization's development.
- Human resources policies and procedures, in relation to salaries, benefits, professional development, workplace safety and respectful workplace.
- Structure, level of activity and function of the board as it serves the organization's vision, including board tenure, recruitment and succession planning.
- The organization's overall financial health.
- Consideration of cultural context in management and governance activity, including the commitment to cultural safety for staff, volunteers, artists and board members.

CULTURAL COMPETENCY AND AGILITY (10%)

The Advisory Committee will assess the organization's ability to work respectfully, knowledgeably and effectively with artists, practitioners and communities from a diversity of cultural backgrounds. Cultural competency and agility have particular implications in considering reconciliation and the cultural safety and self-determination of Indigenous peoples. Advisory Committees may consider the following:

- Commitment and ability to foster cultural safety.
- Capacity to acknowledge, negotiate and respect differing cultural perspectives, worldviews and values.
- Reciprocity in artistic, cultural and community relationships.
- Ability to question organizational assumptions in a cultural context and willingness to learn in unfamiliar cultural situations.
- Ability to address cultural protocols and permissions where required, including appropriate community consent, support, advice and/or collaboration.
- Ethical use of material, including issues of cultural appropriation and ownership.
- Recognition of the impact of proposed artistic activity, including who will benefit from it.

Application Deadline and Submission

The deadline for the Operating Assistance Program: Community Arts Organizations is **September 30**, **2019**.

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Applications are accepted via our <u>NEW</u> online system **no later than** 11:59 p.m. on September 30, 2019.

Please note that you will have to register to our new online system *before* you can submit and application to this program. **The registration period can take up to 2 days**, so please plan accordingly.

Applications by mail, email or fax will not be accepted.

Adjudication Process

Merit-based, independent adjudication is the primary method of evaluation. Applications are evaluated against these criteria alongside a number of equally eligible applications, including those from organizations not currently receiving operating assistance.

Applications for Operating Assistance are assessed by peer Advisory Committees. Advisory Committees are comprised of individuals with expertise in a particular artistic discipline, genre or practice. When selecting jury and committee members, Council considers broad professional knowledge, expertise, geographical representation and diverse artistic, institutional, and cultural viewpoints, including those from Indigenous perspectives.

The following process is used to evaluate every application:

- The British Columbia Arts Council receives applications and acknowledges receipt by email within 2 weeks of the deadline.
- · Council staff reviews applications for eligibility.
- The Advisory Committee evaluates the applications using the assessment criteria outlined above.
- The Advisory Committee submits to Council its recommendations for funding and conditions on the payment of awards.
- Council reviews the recommendations of the Advisory Committee.
- Council informs each applicant of its decision in writing.
- Applicants are urged to contact their program officer for feedback on their application.
- Within 30 days of notification of the results, applicants may appeal the decision based on Council's written appeals policy.

Notification of Awards

Decisions will be made within sixteen weeks of the application deadline. All applicants will be notified by letter. Results will not be released by telephone or email.

Funding Status

Within the Operating Assistance Program, successful applicants may hold either Extended Cycle Status or Annual Status.

EXTENDED CYCLE STATUS

Under normal circumstances, the grant amount from Year One will remain stable for the subsequent year(s) of the funding cycle. Community Arts Organizations on Extended Cycle Status will not submit a full application for support for Year Two (2020/21), but must submit an Extended Cycle Report, including additional documentation as required, to request and release their 2019/20 grant.

The next full application for Community Arts Organizations on Extended Cycle Status will be made in September 2021.

ANNUAL STATUS

Organizations receiving Operating Assistance for the first time may, at the recommendation of the Advisory Committee and approval by Council, be placed on Annual Status. Annual Status may also be applied to organizations in transition or for which the Advisory Committee, Council or staff expressed a

need to monitor more closely in light of Program Guidelines and Council policies.

Organizations placed on Annual Status will:

- Be required to submit a complete application on an annual basis;
- Be subject to annual adjustments to grant amounts within the stated funding cycle; and,
- Receive an award on an annual basis.

CONCERNED STATUS

Organizations funded through the Operating Assistance Program are subject to the BC Arts Council's Fair Notice Policy. The Fair Notice Policy warns poorly performing organizations of the possibility of a phased loss of all operating funding, unless significant improvements are achieved, through the designation and application of Concerned Status criteria.

Organizations that remain or are placed on Concerned Status under Council's Fair Notice Policy will:

- Be required to submit a complete application on an annual basis;
- Be subject to annual adjustments to grant amounts, including reductions of up to 20%, within the stated funding cycle; and,
- Receive an award on an annual basis.

PAYMENT OF AWARDS



All payments of grant monies to the grant recipient are subject to an authorizing appropriation under the Financial Administration Act.

Grant recipients must promptly inform the BC Arts Council of substantive changes to the organization, including:

- Significant shifts in structure, staffing or activities
- Major changes to proposed activities;
- Changes in legal status, ownership, corporate structure, name, or mandate;
- Danger of insolvency, bankruptcy, or credit protection;
- Legal proceedings that pose a financial or reputational risk.

The British Columbia Arts Council reserves the right to redistribute, delay or suspend payments if the organization:

- Does not carry out its planned program of activities.
- Undergoes major changes in artistic or administrative direction.
- Does not meet its obligations as a grant recipient, including submission of final reports to the Council.
- Fails to comply with legal obligations.
- Demonstrates significant risk of insolvency or bankruptcy.
- Fails to respect the commitment to provide a safe and respectful workplace free from harassment, discrimination and sexual misconduct.

APPEALS POLICY

The BC Arts Council appeals policy allows for appeals of funding decisions in programs utilizing the Advisory Committee process¹. Appeals will be considered when the applicant can demonstrate that there

¹ Advisory Committees make recommendations to the Board for operating funding; juries make decisions for project assistance programs.

was significant information available to the Committee at the time of adjudication that was not presented at the meeting or that was substantively misunderstood by the Committee.

The BC Arts Council will not consider any notices of intention to appeal that question only the assessment of artistic merit.

Grant Amounts

The minimum grant amount within the Operating Assistance Program – Performing Arts Organizations is \$20,000. There is no maximum grant amount. All applicants to the BC Arts Council are encouraged to submit concise, realistic requests, responding to the assessment criteria.

For an intake year, all applicants are subject to annual application requirements and the possibility of adjustments within funding levels at the recommendation of Advisory Committees and approval of Council.

Confidentiality and Recognition of Awards

CONFIDENTIALITY OF INFORMATION

The collection, use, and disclosure of personal information are subject to the privacy provisions of the *Freedom of Information and Protection of Privacy Act*. Your organization's information will be shared in confidence with members of the adjudication jury. The names and locations of successful award recipients will be published along with the amount of the award in the Annual Report of the BC Arts Council.

RECOGNITION OF ASSISTANCE

In recognition of funding, the support of the BC Arts Council and the Province of British Columbia should be acknowledged in all promotional materials, both hard copy and online. The approved BC Arts Council and provincial logo and graphics standards are available online in a variety of ready-to-use digital formats at www.bcartscouncil.ca/mediaroom. The joint logo should be used on posters, brochures, print ads and programs and used where possible on media releases and signs related to the funded project.

As well, funded organizations with a website are encouraged to add a link to the BC Arts Council website.

Contact Information

Monique Lacerte - Program Officer

Phone: 778 698-3532 I Email: Monique.Lacerte@gov.bc.ca

A full list of program officers is available at http://www.bcartscouncil.ca/about/coordinators.htm.

For general information or further assistance, please contact:

Telephone: 250 356-1718

Email: bcartscouncil@gov.bc.ca

Program guidelines are reviewed annually.

Please ensure you are working with the most current program guidelines for each intake.